

# **2024 Institute for Law and Economic Policy Symposium**

## ***GATEKEEPING IN A POST-CHEVRON WORLD***

**Co-Sponsored by the Berkeley Business Law Journal**

### **PARTICIPANT BIOGRAPHIES**

#### **JULIA DUNCAN**



Julia Duncan is the Deputy Chief of Public Affairs for the American Association for Justice (AAJ) located in Washington D.C. and has spent the last 17 years advocating for the protection of consumer, survivor, investor, and employment rights. She specializes in the areas of toxic torts, forced arbitration, consumer financial issues, banking and investor protection issues, class actions, and employment discrimination. Prior to joining AAJ, Ms. Duncan worked for the Santa Cruz County District Attorney's Office and as an advocate for women's health policy issues in both California and Washington, D.C. She is a member of the California and D.C. Bar Associations.

## LAURA H. POSNER



Laura H. Posner, a partner in the Securities Litigation & Investor Protection practice, has recovered billions on behalf of defrauded investors. Her cases include 6 of the top 100 securities fraud class action settlements of all time, including *In re Wells Fargo*, the 17th largest securities fraud recovery for investors ever. Laura has also been instrumental in successfully resolving for hundreds of millions of dollars and sweeping governance changes, groundbreaking derivative actions arising out of allegations of sexual misconduct and race discrimination, including obtaining the first ever, and to date only, demand futility decision in such a case.

Laura is also a partner in the firm's Ethics & Fiduciary Counseling practice, where she works closely with public pension plan trustees and administrators across the country to navigate changing economic conditions and organizational challenges and advises on governance matters and management of investment portfolios.

Prior to joining the firm, Laura was appointed by the New Jersey Attorney General to serve as the Bureau Chief for the New Jersey Bureau of Securities – the top securities regulator in New Jersey. In that capacity, she was responsible for administering and enforcing the New Jersey Uniform Securities Law and regulations thereunder, as well as managing and overseeing the employees who staff the Bureau of Securities. Cases prosecuted under Laura's direction as Bureau Chief resulted in hundreds of millions of dollars in recoveries for New Jersey residents and more than 20 criminal convictions.

Outside of the firm, Laura is a thought leader on investor protection issues, helming the Institute for Law & Economic Policy, a public policy research and educational foundation focused on the development of securities law and investor and consumer access to the civil justice system, drafting numerous successful *amici* briefs to the U.S. Supreme Court and appellate courts across the country, and serving on the Public Policy Council of the CFP Board.

## REBECCA E. BOON



Rebecca Boon has been litigating securities fraud and shareholder rights actions for fifteen years, recovering billions of dollars for the firm's institutional investor clients. Rebecca has advanced equality in the workplace by co-founding the Beyond #MeToo working group and leading landmark recoveries that have resulted in important social change among industries.

Highlights of Rebecca's trial experience include the following:

- Co-led the trial team that recovered \$240 million for investors in *Signet*, the first successful resolution of a securities fraud class action based on allegations of sexual harassment. In this case both the class certification decision and the Judge's decision that the Company's statements about gender equality and sexual harassment could be actionable in a securities class action are landmark decisions that exceed even the significant financial recovery achieved for shareholders.
- Senior member of the trial team that prosecuted an unprecedented shareholder derivative litigation against Fox News parent 21st Century Fox, Inc. arising from the systemic sexual and workplace harassment at the embattled network. After nearly 18 months of litigation, the team obtained a landmark settlement in 2018 with two key components: 1) the first ever Board-level watchdog of its kind—the "Fox News Workplace Professionalism and Inclusion Council" of experts—majority independent of the Murdochs, the Company, and Board; and 2) one of the largest financial recoveries—\$90 million—ever obtained in a pure corporate board oversight dispute. Because of her work on the case, Rebecca subsequently narrated a feature documentary by Dow Jones' *MarketWatch* discussing both the *Fox* litigation and the ways that investors can harness their power to create meaningful social change through shareholder litigation.
- Senior member of the team that obtained \$480 million for investors in the securities class action against Wells Fargo & Co. related to its fake accounts scandal, one of the largest settlements in Ninth Circuit history.
- Represented the New York State Teachers' Retirement System in a securities litigation against the General Motors Company arising from a series of misrepresentations concerning the quality, safety, and reliability of the Company's cars, which resulted in a

\$300 million settlement—the second largest securities class action recovery in the Sixth Circuit.

- Led the trial team that recovered \$90 million for investors in Willis Towers Watson in direct and related shareholder derivative litigation arising from the merger of Towers and Willis. Beyond the significant financial recovery, this case was particularly significant because BLB&G obtained decisions from both the Fourth Circuit Court of Appeals and the District Court that created highly favorable law for pleading claims under Section 14(a) of the Exchange Act.

In addition to her litigation responsibilities, Rebecca is a founding member and the chairperson of [Beyond #MeToo: A Working Group on Corporate Governance, Compliance, and Risk](#).

Comprised of diversity-inclusion experts, litigators, and academics, B#MT is dedicated to understanding the root causes of workplace harassment, discrimination, and misconduct and making corporate America a better and more inclusive place for all of us to work.

Rebecca co-leads BLB&G's Women's Committee, is active in BLBG's Women's Forum, and is a member of the firm's Diversity Committee. Rebecca regularly lectures at law schools, universities and conferences in the U.S. and abroad on the topics of ESG, social change, sexual harassment, and shareholder litigation.

In recognition of her achievements, she has been named a "Rising Star" by *Law360*, a "Rising Star of the Plaintiffs Bar" by *The National Law Journal*, and a "Young Lawyer of the Year" by *The American Lawyer*. Rebecca is recognized as a "Next Generation Partner" by *The Legal 500* and described as "a key player in MeToo cases." She has been included in the *Super Lawyers* publication of leading practitioners by Thomson Reuters as a "Rising Star," as well *Lawdragon's* lists of the "500 Leading Lawyers in America" and the "500 Leading Plaintiff Financial Lawyers." Rebecca has also been recognized as a "Future Star" by *Benchmark Litigation* and named multiple times over to the publication's "40 and Under Hot List."

Rebecca is also a Fellow of the American Bar Foundation (ABF), a global honorary society of attorneys, judges, law faculty, and legal scholars whose public and private careers have demonstrated outstanding dedication to the highest principles of the legal profession. This exclusive invitation-only membership is limited to 1% of licensed attorneys.

Rebecca sits on the board of The Feminist Institute, a not-for-profit organization dedicated to collecting, digitizing and sharing feminist history. She is also a member of the Federal Bar Council's Program Committee.

Before joining BLB&G, Rebecca was a litigation associate at the law firm of Shearman & Sterling LLP, where she successfully prosecuted and defended securities class actions and other complex commercial litigation claims.

## **PROFESSOR MATT CAIN**



Matt is a Senior Fellow at the Berkeley Center for Law and Business. He has provided economic analysis, consulting, and expert witness testimony in a variety of finance topics on behalf of the U.S. Securities and Exchange Commission (SEC) and other clients, including investigations, settlement negotiations, and trials. Matt spent several years working at the SEC as a Financial Economist in the Office of Litigation Economics and as an advisor to Commissioner Robert J. Jackson, Jr.

Prior to working with the SEC, Matt was a finance professor at the University of Notre Dame. Matt has industry experience in debt capital markets. He assisted companies with capital raisings through syndicated bank loans and private placements of debt, equity, and convertible securities, with proceeds used to fund mergers and acquisitions, recapitalizations, and other corporate needs. He also engaged in the due diligence process and financial valuation and modeling involved in such capital markets deals.

Matt has published research in finance, accounting, law, and economics journals on topics including investment banking and fairness opinion valuations, private equity and merger contracts and terminations, corporate governance and shareholder activism, hostile takeovers, earnout clauses, merger-related litigation, and management buyouts.

Education: Ph.D., Finance, Purdue University (2007)

## **PROFESSOR ALEXANDER I. PLATT**



Alexander I. Platt joined the KU Law faculty in 2020 as an associate professor of law. His scholarship on securities regulation and corporate governance has appeared (or is forthcoming) in leading law journals such as the *Stanford Law Review* and the *Yale Journal on Regulation*, and has been featured in the *Wall Street Journal*, *Bloomberg* and other outlets.

Before joining KU, Platt was a Climenko Fellow and Lecturer on Law at Harvard Law School. Platt received his B.A., magna cum laude, in Philosophy and Political Science from Columbia University, and his J.D. from Yale Law School, where he served as an Articles Editor on the *Yale Law Journal*. After graduating from law school, he clerked for judges Stephen F. Williams (D.C. Cir.) and Royce C. Lamberth (D.D.C.) and practiced for four years at a leading law firm in Washington, D.C.

## SALVATORE GRAZIANO



Salvatore Graziano is widely recognized as one of the top securities litigators in the country. He has served as lead trial counsel in a wide variety of major securities fraud class actions, recovering billions of dollars on behalf of institutional investors and hedge fund clients.

Over the course of his distinguished career since the passage of the PSLRA in 1995, Sal has successfully litigated many high-profile cases, including: *Merck & Co., Inc. (Vioxx) Sec. Litig.* (D.N.J.); *In re Schering-Plough Corp./ENHANCE Sec. Litig.* (D.N.J.); *Gary Hefler et al. v. Wells Fargo & Company et al.* (N.D. Cal.); *In re Kraft Heinz Securities Litigation* (N.D. Ill.); *New York State Teachers' Retirement System v. General Motors Co.* (E.D. Mich.); *In re MF Global Holdings Limited Sec. Litig.* (S.D.N.Y.); *In re Raytheon Sec. Litig.* (D. Mass.); *In re Refco Sec. Litig.* (S.D.N.Y.); *In re MicroStrategy, Inc. Sec. Litig.* (E.D. Va.); *In re Bristol Myers Squibb Co. Sec. Litig.* (S.D.N.Y.); and *In re New Century Sec. Litig.* (C.D. Cal.).

Industry observers, peers and adversaries routinely honor Sal for his accomplishments. He is one of the "Top 100 Trial Lawyers" in the nation and a "Litigation Star" according to *Benchmark Litigation*, which credits him for performing "top quality work." *Chambers USA* continuously ranks Sal as a top litigator, quoting market sources who describe him as "wonderfully talented...a smart, aggressive lawyer who works hard for his clients," and "the go-to for the biggest cases." Sal is also ranked as a top litigator by *Legal 500*, which quotes market sources who praise him as a "highly effective litigator." Heralded multiple times as one of a handful of Securities Litigation and Class Action "MVPs" in the nation by *Law360*, he has also been named a "Litigation Trailblazer" by *The National Law Journal*. Sal is also one of *Lawdragon's* "500 Leading Lawyers in America" and "500 Leading Plaintiff Financial Lawyers" in America, named as a leading mass tort and plaintiff class action litigator by *Best Lawyers®*, and is one of Thomson Reuters' *Super Lawyers*.

In recognition of Sal's high level of efficacy and countless accomplishments in litigation and trial work, as well as his ethical reputation, Sal was named a Fellow of the Litigation Counsel of

America. This close-knit, peer-selected group embodies the best of the best in trial law, with most members bringing 12 or more years of experience to the table. LCA membership is limited to 3,500 Fellows, representing less than one-half of one percent of American lawyers.

A highly esteemed voice on investor rights, regulatory and market issues, in 2008 he was called upon by the Securities and Exchange Commission's Advisory Committee on Improvements to Financial Reporting to give testimony as to the state of the industry and potential impacts of proposed regulatory changes being considered. He is the author and co-author of numerous articles on developments in the securities laws, and was chosen, along with several of his BLB&G partners, to author the first chapter - "Plaintiffs' Perspective" - of Lexis/Nexis's seminal industry guide *Litigating Securities Class Actions*.

A member of the firm's Executive Committee, Sal has previously served as the President of the National Association of Shareholder & Consumer Attorneys, and has served as a member of the Financial Reporting Committee and the Securities Regulation Committee of the Association of the Bar of the City of New York. He regularly speaks on securities fraud litigation and shareholder rights, and has repeatedly guest lectured at Columbia Law School on the topic.

Prior to entering private practice, Sal served as an Assistant District Attorney in the Manhattan District Attorney's Office.

## PROFESSOR FRANK PARTNOY



Before joining Berkeley Law in 2018, Frank Partnoy taught for twenty-one years at the University of San Diego, where he was the George E. Barrett Professor of Law and Finance and received the Thorsnes Prize for Excellence in Teaching three times. Partnoy has been an international research fellow at Oxford University since 2010, and has been a visiting professor at the University of Sydney and the Rady School of Management. He serves on the Steering Committee of the Financial Economists Roundtable. Partnoy has written several dozen scholarly publications on topics in business law and financial markets, including in peer-reviewed journals (e.g., *Journal of Finance*, *Journal of Accounting and Economics*, *Journal of Corporate Finance*, *Accounting Review*, *Socio-Economic Review*), in chapters of academic press books (e.g., *Oxford*, *Cambridge*, *Chicago*, *Brookings*), and in law reviews (e.g., *Chicago*, *Pennsylvania*, *Georgetown*). Partnoy also is co-author of several academic books, including a leading casebook that he assigns for Business Associations.

Partnoy is the author of four trade press books: *WAIT*, *The Match King*, *Infectious Greed*, and *F.I.A.S.C.O.* He writes regularly for *The Atlantic*, and has written multiple articles each for *The New York Review of Books*, *Harvard Business Review*, and *The Wall Street Journal*, as well as more than fifty opinion pieces for the print editions of *The New York Times* and the *Financial Times*. Partnoy has appeared on numerous media programs, including *60 Minutes* and *The Daily Show with Jon Stewart*, and has testified as an expert before both houses of Congress. He also has testified as an expert in a range of civil and criminal litigation, including on behalf of the Department of Justice, the Securities and Exchange Commission, and the Commodity Futures Trading Commission. Before becoming a professor, Partnoy worked as a lawyer at Covington & Burling and as a fixed income derivatives specialist at Morgan Stanley and CS First Boston. He clerked for the Honorable Michael B. Mukasey in the Southern District of New York

Education: J.D., Yale University (1992); B.A./B.S., University of Kansas (1989)

## DANIEL LAGUARDIA



Daniel is Co-Head of US Securities & Shareholder Litigation and Managing Partner of California at A&O Shearman.

He has represented individuals, corporations, and various financial institutions including banks, broker-dealers, hedge funds, mutual funds and private equity funds, in securities litigation, internal investigations, regulatory matters and complex commercial litigation. He has extensive litigation experience across a broad spectrum of substantive areas, including securities and complex financial products, financial markets, mergers & acquisitions disputes, shareholder actions, distressed debt and bankruptcy issues, and commercial contracts, and he has achieved a strong record of success for clients in trial and appellate courts.

In addition to the representation of clients in all aspects of strategic litigation planning and practice, Daniel regularly counsels clients on pre-dispute situation management, risk-control, high exposure transactions, compliance, and board governance issues. He conducts internal investigations for clients and board committees and reviews and advises on corporate compliance provisions, governance structures, and controls.

Daniel was a law clerk to Judge Anthony J. Scirica of the U.S. Court of Appeals, Third Circuit, from 1999 to 2000 and to Judge David G. Trager, U.S. District Court, E.D.N.Y., from 1998 to 1999.

## RANDALL J. BARON



Randall Baron is a partner in Robbins Geller Rudman & Dowd LLP's San Diego office. He specializes in securities litigation, corporate takeover litigation, and breach of fiduciary duty actions. For almost two decades, Randy has headed up a team of lawyers whose accomplishments include obtaining instrumental rulings both at injunction and trial phases, and establishing liability of financial advisors and investment banks. With an in-depth understanding of merger and acquisition and breach of fiduciary duty law, an ability to work under extreme time pressures, and the experience and willingness to take a case through trial, he has been responsible for recovering more than a billion dollars for shareholders.

Notable achievements over the years include: *In re Kinder Morgan, Inc. S'holders Litig.* (Kan. Dist. Ct., Shawnee Cnty.), where Randy obtained an unprecedented \$200 million common fund for former Kinder Morgan shareholders, the largest merger & acquisition class action recovery in history; *In re Dole Food Co., Inc. S'holder Litig.* (Del. Ch.), where he went to trial in the Delaware Court of Chancery on claims of breach of fiduciary duty on behalf of Dole Food Co., Inc. shareholders and obtained \$148 million, the largest trial verdict ever in a class action challenging a merger transaction; and *In re Rural/Metro Corp. S'holders Litig.* (Del. Ch.), where Randy and co-counsel obtained nearly \$110 million total recovery for shareholders against Royal Bank of Canada Capital Markets LLC. In *In re Del Monte Foods Co. S'holders Litig.* (Del. Ch.), he exposed the unseemly practice by investment bankers of participating on both sides of large merger and acquisition transactions and ultimately secured an \$89 million settlement for shareholders of Del Monte. Randy was one of the lead attorneys representing about 75 public and private institutional investors that filed and settled individual actions in *In re WorldCom Sec. Litig.* (S.D.N.Y.), where more than \$657 million was recovered, the largest opt-out (non-class) securities action in history. In *In re Dollar Gen. Corp. S'holder Litig.* (Tenn. Cir. Ct., Davidson Cnty.), Randy was lead trial counsel and helped to secure a settlement of up to \$57 million in a common fund shortly before trial, and in *Brown v. Brewer* (C.D. Cal.), he secured \$45 million for shareholders of Intermix Corporation, relating to News Corp.'s acquisition of that company. In *In re Chaparral Res., Inc. S'holders Litig.* (Del. Ch.), he was one of the lead trial counsel,

which resulted in a common fund settlement of \$41 million (or 45% increase above merger price) after a full trial and a subsequent mediation before the Delaware Chancellor. The Delaware Vice Chancellor who presided over the trial noted that “the performance [of the attorneys on the case] was outstanding, and frankly, without the efforts of counsel, nothing would have been achieved.” In *In re ACS S’holder Litig.* (Tex. Cnty. Ct., Dallas Cnty.), Randy led the negotiations and obtained significant concessions from ACS’s acquirer, Xerox, by which shareholders would not be locked out of receiving more money from another buyer. *The New York Times Deal Professor* deemed this result both “far reaching” and “unprecedented.” In *In re Prime Hospitality, Inc. S’holder Litig.* (Del. Ch.), he led a team of lawyers who objected to a settlement that was unfair to the class and proceeded to litigate breach of fiduciary duty issues involving a sale of hotels to a private equity firm. The litigation resulted in a common fund settlement of \$25 million for shareholders. As the Delaware Chancellor presiding over the case noted: “[H]ad it not been for the intervention of [Robbins Geller Rudman & Dowd LLP] . . . there would not have been a settlement that would have generated actual cash for the shareholders.” Most recently, Randy successfully obtained a partial settlement of \$60 million in *In re Tesla Motors, Inc. S’holder Litig.*, a case that alleged that the members of the Tesla Board of Directors breached their fiduciary duties, unjustly enriched themselves, and wasted corporate assets in connection with their approval of Tesla’s acquisition of SolarCity Corp. in 2016.

Formerly, Randy served as a Deputy District Attorney in Los Angeles County. From 1990-1997, he was a trial deputy in numerous offices throughout Los Angeles County, where he tried over 70 felony cases. From 1994-1997, Randy was part of the Special Investigation Division of the Los Angeles District Attorney’s office, where he investigated and prosecuted public corruption cases. He also teaches merger and acquisition litigation at Columbia Law School and frequently guest lectures at law schools throughout the country, including Stanford Law School and Harvard Law School.

Randy has received numerous accolades, including the Distinguished certification by Martindale-Hubbell. He has also been ranked by *Chambers USA* and named Attorney of the Year by *California Lawyer*, a Mergers & Acquisitions Trailblazer and a Winning Litigator by *The National Law Journal*, a Litigator of the Week and a Titan of the Industry by *The American Lawyer*, Lawyer of the Year and a Best Lawyer in America and Southern California by *Best Lawyers®*, a Leading Lawyer and to the Hall of Fame by *The Legal 500*, a Leading Plaintiff Financial Lawyer and one of the Leading Lawyers in America by *Lawdragon*, and a Super Lawyer by *Super Lawyers Magazine*. In addition, he has been named a Litigation Star, California - Litigation Star, State Litigation Star, National Practice Area Star, and Local Litigation Star by *Benchmark Litigation*. Recently, Randy was selected to become a Fellow of the Advisory Board of the Litigation Counsel of America, an invitation-only trial lawyer honorary society whose membership is limited to less than one-half of one percent of North American lawyers, judges, and scholars. He was also recently invited to become a Fellow of the American Bar Foundation, a membership that is limited to one percent of lawyers licensed to practice in each jurisdiction.

Randy earned a Bachelor of Arts degree from the University of Colorado at Boulder and a Juris Doctor degree from the University of San Diego School of Law, graduating *cum laude*.

## PROFESSOR USHA R. RODRIGUES



Usha R. Rodrigues leads courses in contracts, business ethics, business associations and securities regulation, and she presently serves as the law school's associate dean for faculty development, a post she previously held from 2015 to 2018.

Rodrigues joined the School of Law's faculty in the fall of 2005 and was named the holder of the M.E. Kilpatrick Chair of Corporate Finance and Securities Law in 2014. She was awarded the title of University Professor in 2019, which was then awarded to no more than one UGA faculty member per year and was reserved for professors who had served as "change agents" for UGA. Her other university honors and roles include service as UGA's interim vice provost for academic affairs from February 2022 to April 2023. Rodrigues also has been the University Council's parliamentarian since 2014. Additionally, she holds a courtesy appointment in UGA's Terry College of Business.

Prior to coming to Athens, Rodrigues was a corporate associate with Wilson Sonsini Goodrich & Rosati in Reston, Va., where she specialized in corporate law and technology transactions. She also served as a judicial law clerk to Judge Thomas L. Ambro of the U.S. Court of Appeals for the Third Circuit.

In addition to her academic work, Rodrigues remains active in the legal profession. She has served as an expert in both litigation and transactional matters. She has testified before the House Financial Services Committee and been quoted in the *New York Times*, the *Financial Times*, the *Wall Street Journal* and other publications.

Her work has appeared in the Virginia, Illinois, Iowa, Minnesota, Fordham, Emory, Florida, and Washington and Lee law reviews, among others. She has also published in online fora of the Vanderbilt, UCLA, Texas and Harvard Business law reviews and in the peer-reviewed *Journal of Corporate Finance*. She has served as the chair of the Executive Committee of the Association of American Law Schools Business Associations Section and as the president of the Law and Entrepreneurship Association. She was elected to the American Law Institute in 2016.

Rodrigues earned her bachelor's degree *summa cum laude* from Georgetown University, her master's degree in comparative literature *summa cum laude* from the University of Wisconsin and her Juris Doctor from the University of Virginia, where she served as editor-in-chief of the *Virginia Law Review* and was inducted into the Order of the Coif.

## **PROFESSOR DONNA NAGY**



Professor Nagy joined the Indiana University Bloomington Maurer School of Law faculty in 2006 as the C. Ben Dutton Professor of Business Law, and has served as executive associate dean for much of the last decade. She began her teaching career in 1994 at the University of Cincinnati College of Law, where she served as Interim Dean from 2004-05 and as Associate Dean for Faculty Development from 2002-04. In Spring 2001, she was a Visiting Professor of Law at the University of Illinois College of Law, and was a Visiting Scholar at the University of Canterbury School of Law in Christchurch, New Zealand in Spring 2002.

Professor Nagy teaches and writes in the areas of securities litigation, securities regulation, and corporations. Her scholarship includes two co-authored books, one on the law of insider trading (with Ralph Ferrara and Herbert Thomas) and a casebook on Securities Litigation, Enforcement, and Compliance (with Lisa Fairfax and Veronica Root Fairfax). She has written numerous law review articles on matters including, most recently, the selective disclosure of government information; government officials and financial conflicts of interest; and insider trading and fiduciary principles. She is also a frequent speaker on securities regulation and litigation topics at law schools and professional conferences. Professor Nagy is a member of the American Law Institute and served as an appointed member to the ABA Corporate Laws Committee. She also served a three-year term as a member of the National Adjudicatory Council of the Financial Industry Regulatory Authority (FINRA) and has held many leadership positions with the Association of American Law Schools (including the 2017 chair of the Program Committee for the AALS Annual Meeting and the 2015 chair of the Planning Committee for the New Law Teachers Workshop). She was also a vice president and member of the Board of Trustees of the SEC Historical Society from 2008-11.

Prior to teaching, Professor Nagy was an associate with Debevoise & Plimpton in Washington, D.C., specializing in securities enforcement and litigation.

Education: Vassar College, B.A. (1986); New York University Law School, J.D. (1989)

## PROFESSOR RENEE JONES



Renee Jones is a professor and Dr. Thomas F. Carney Distinguished Scholar at Boston College Law School. Her courses include Corporations, Securities Regulation, Startup Company Governance, and Financial Regulation. From 2018 to 2021, Professor Jones served as Associate Dean for Academic Affairs at the law school.

From 2021 to 2023, Professor Jones served as the Director of the Division of Corporation Finance at the U.S. Securities and Exchange Commission. As Division Director, Professor Jones led a team of more than 400 lawyers, accountants and analysts charged with interpreting, implementing and ensuring compliance with the Securities Act of 1933, the Securities Exchange Act of 1934 and related statutory provisions. In this role, she oversaw the implementation of Commission's ambitious rulemaking agenda, including the drafting of proposed and final rules covering topics including the disclosure of climate-related risks, cybersecurity risks, Special Purpose Acquisition Companies (SPACs), insider trading, executive compensation, shareholder voting. She also oversaw the Division's Disclosure Review Program to ensure corporate compliance with SEC disclosure requirements, handling issues ranging from disclosure by China-based issuer and climate-related risk disclosure to the impact of the Russia-Ukraine conflict on corporate issuers.

Professor Jones's scholarship focuses on securities regulation, corporate governance and the federal-state relationship in corporate regulation. A frequent commentator on corporate and securities law issues, she has appeared at conferences at law schools and professional societies across the country and abroad. Her article, "[The Unicorn Governance Trap](#)," published in the *University of Pennsylvania Law Review Online*, highlights the risks posed by the increasing number of unicorns (private companies valued at \$1 billion or more) to investors, employees, consumers, and society. In 2019, Professor Jones testified on this topic before the Subcommittee on Investor Protection, Entrepreneurship, and Capital Markets of the House Financial Services Committee and the Securities and Exchange Commission's Investor Advisory Committee. Professor Jones explores these issues in greater depth in her forthcoming book, *Untamed*

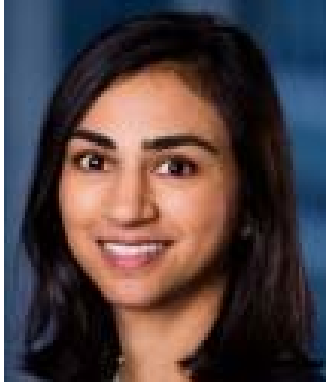
*Unicorns: Why the Startup Financing System is Broken – and How to Fix It* (under contract with Harvard University Press).

Professor Jones has been a Visiting Fellow at New York University Law School, and a Visiting Professor at the University of Hawaii Richardson School of Law and the Sorbonne University in Paris. From 2005-2006, she was the Eugene P. Beard Faculty Fellow in Ethics at Harvard University's Edmond J. Safra Foundation Center for Ethics. In 2010, she received the Faculty Award for Inspirational Achievement from the Boston College Law Business & Law Society.

Among her many professional and community activities, Jones is a member of the Academic Advisory Board of the Institute for Law and Economic Policy. She has also served on the Governing Committee of the Massachusetts Medical Malpractice Reinsurance Plan, and American Law Institute. In addition, she has served as a member of the American Bar Association's House of Delegates, *Human Rights Magazine's* editorial board, and New England Committee of the NAACP Legal Defense Fund, and has co-chaired the Boston Bar Association's Securities Law Committee.

Before joining BC Law in 2002, Professor Jones practiced for eight years at the Boston law firm Hill & Barlow, where she represented private and public companies on corporate and securities matters. Professor Jones received her AB degree from Princeton University and her JD, *cum laude*, from Harvard Law School, where she was an Editor of the Harvard Law Review.

## ANITHA REDDY



Anitha Reddy is a partner in the Litigation Department of Wachtell, Lipton, Rosen & Katz. Her practice focuses on representing directors and corporations in disputes involving mergers and acquisitions, contests for corporate control, and corporate governance matters, and in class and derivative actions alleging breaches of fiduciary duty.

Ms. Reddy is a graduate of Stanford University and Stanford Law School, where she was managing editor of the *Stanford Law Review*. After law school, she served as a law clerk to the Honorable John Gleeson of the U.S. District Court for the Eastern District of New York and to the Honorable Pierre N. Leval of the U.S. Court of Appeals for the Second Circuit. Ms. Reddy served as a law clerk to Justice Ruth Bader Ginsburg of the U.S. Supreme Court for the October 2014 term.

### Education

- [Stanford University](#), A.B. 2002, *magna cum laude*
- [Stanford Law School](#), J.D. 2008, (Managing Editor, *Stanford Law Review*)

## **THE HONORABLE CHANCELLOR KATHALEEN ST. J. MCCORMICK**



The Honorable Chancellor Kathaleen St. J. McCormick was sworn in as Chancellor of the Court of Chancery on May 6, 2021. Chancellor McCormick first joined the court as Vice Chancellor on November 1, 2018. Prior to joining the Court, Chancellor McCormick was a partner in the Delaware law firm Young Conaway Stargatt & Taylor, LLP, where she focused her practice on litigating internal governance and corporate disputes, primarily in the Court of Chancery. Before entering private practice, Chancellor McCormick was a staff attorney with the Community Legal Aid Society, Inc.

Chancellor McCormick received her undergraduate degree from Harvard and her law degree from Notre Dame Law School. She is a Delaware native and a graduate of Smyrna High.

## THE HONORABLE VICE CHANCELLOR LORI W. WILL



The Honorable Lori W. Will was sworn in as a Vice Chancellor of the Court of Chancery on May 26, 2021. Prior to joining the Court, Vice Chancellor Will was a partner at Wilson Sonsini Goodrich & Rosati, P.C., where she focused on the representation of business entities and their directors and officers in corporate, complex commercial, and federal securities litigation.

Before joining Wilson Sonsini, Vice Chancellor Will was a senior associate in the litigation department of Skadden, Arps, Slate, Meagher & Flom LLP. She served as a law clerk to then-Vice Chancellor Leo E. Strine, Jr. after graduating from law school.

Vice Chancellor Will received her B.A. *summa cum laude* in both History and Government & Law from Lafayette College. She received her J.D. from the University of Pennsylvania Law School and a graduate Certificate in Business and Public Policy from the Wharton School of the University of Pennsylvania. She is a member of the American Bar Association, the Delaware State Bar Association, and the Richard S. Rodney Inn of Court.

## PROFESSOR JILL E. FISCH



Jill E. Fisch is an internationally known scholar whose work focuses on the intersection of business and law, including the role of regulation and litigation in addressing limitations in the disciplinary power of the capital markets.

Fisch has written more than 100 scholarly articles and book chapters that have appeared in top law reviews, including the *Harvard Law Review*, *Yale Law Journal*, *University of Pennsylvania Law Review*, and *Texas Law Review*. Her work is regularly featured in the Corporate Practice Commentator's list of "Top Ten Corporate and Securities Articles" and has been cited by a variety of courts including the United States Courts of Appeal for the First, Second, Third, Fourth, Fifth, Seventh, and Ninth Circuits, the Delaware Supreme Court, the Supreme Court of Utah, and the Delaware Chancery Court. Current projects include a focus on developments in ESG (environmental, social and governance) investing and the Securities & Exchange Commission's regulatory responses, and ongoing work on the evolving role of institutional investors in corporate governance and the growing importance of retail investors. Fisch is also engaged in a series of experimental projects studying retail investor decision making. She has traveled extensively in Europe and Asia to teach, lecture, and meet with scholars and regulators on issues involving comparative corporate governance and the globalization of the capital markets.

Prior to Penn, Fisch was the T.J. Maloney Professor of Business Law and Founding Director of the Corporate Law Center at Fordham Law, where she taught for 19 years. She has been a Visiting Professor at Harvard, Berkeley, Columbia, and Georgetown. Before entering academia, Fisch was an Associate at Cleary Gottlieb Steen & Hamilton LLP and a Trial Attorney in the Criminal Division of the U.S. Justice Department Honors Program.

In 2022, Fisch received the University's Christian R. and Mary F. Lindback Foundation Award for Distinguished Teaching. She has also received the LLM Award for Excellence in Teaching (2015-16 and 2021-22) and the Robert A. Gorman Award for Excellence in Teaching (2010-11 and 2020-21).

## **PROFESSOR HILLARY A. SALE**



Hillary A. Sale is an influential business leader and consultant as well as a recognized expert in financial services, ESG, securities, crisis management, compliance, corporate governance, strategy, and leadership. She is director for the Cboe U.S. Securities Exchanges, Cboe Futures Exchange, and Cboe SEF. She served the maximum of two terms as a member of the FINRA Board of Governors from 2016-2022, where she Chaired the Regulatory Policy Committee and served on the Executive, Nominating and Governance, Compensation, and Regulatory Operations Committees. She is also a member of the Advisory Board of Foundation Press, an educational publisher of scholarly books, is a faculty member with the National Association of Corporate Directors, speaks and works with boards across the country, and is also the Chair of the DirectWomen Board Institute.

Hillary is the Agnes Williams Sesquicentennial Professor of Leadership and Corporate Governance at Georgetown Law Center and a Professor of Management at Georgetown University's McDonough School of Business. As an award-winning scholar and industry-focused academic, she writes and speaks about corporate governance, ESG, securities, compliance, strategy, and leadership. In the spring of 2017, she was the Sullivan & Cromwell Visiting Professor of Law at Harvard Law School, teaching Corporate Boards and Governance and Leadership.

She is an accomplished business partner who speaks to industry groups and academic audiences and was selected by the St. Louis Business Journal as a "2014 Most Influential Business Woman." In addition to running governance and leadership programs, Hillary consults regularly with CEOs, C-suite executives, and boards on governance, strategy, ESG, inclusion and diversity, company culture, board effectiveness, and compliance. She also works with business leaders in both custom executive education programs and programs at Harvard Law, where she Chairs the Women's Leadership Initiative, and at Georgetown's McDonough School of Business and the Law Center.

Hillary graduated magna cum laude from Harvard Law School and holds a master's degree in Economics from Boston University, where she also completed her B.A., summa cum laude. Before joining the Georgetown faculty, she was the Walter D. Coles Professor of Law and a

Professor of Management at Washington University in St. Louis. Previously, Hillary served as the F. Arnold Chair in Corporate Finance and Law at the University of Iowa College of Law. She can be reached at [hillary.sale@georgetown.edu](mailto:hillary.sale@georgetown.edu) or 202.662.4222.

## CAROL C. VILLEGAS



Carol C. Villegas is a Partner in the New York office of Labaton Keller Sucharow LLP. Carol focuses on prosecuting complex securities fraud and consumer cases on behalf of institutional investors and individuals. Leading one of the Firm's Securities Litigation teams, she is actively overseeing litigation against Boeing, PayPal, Olaplex, DocuSign, Catalent, Flo Health, Amazon, and Hain, among others. In addition to her litigation responsibilities, Carol holds a variety of leadership positions within the Firm, including serving on the Firm's Executive Committee, Chair of the Firm's Women's Networking and Mentoring Initiative, and as Chief of Compliance.

Carol's development of innovative case theories in complex cases, her skillful handling of discovery work, and her adept ability during oral arguments has earned her accolades as one of the top Securities Litigators in the country from *Chambers & Partners USA* and *The Legal 500*, where clients praised her for helping them "better understand the process and how to value a case." She has also been recognized by *Law360* as a Class Action MVP, *The National Law Journal* as a Plaintiffs' Trailblazer, and the *New York Law Journal* as a Top Woman in Law, New York Trailblazer, and Distinguished Leader. *Business Today* named Carol one of the "Top 10 Most Influential Securities Litigation Lawyers in New York." *The National Law Journal's* "Elite Trial Lawyers" has repeatedly recognized her superb ability to excel in high stakes matters on behalf of plaintiffs and selected her to its class of "Elite Women of the Plaintiffs Bar" and as a finalist for "Plaintiff Attorney of the Year." *Benchmark Litigation* has recognized her as a Litigation Star and among the Top 250 Women in Litigation and has shortlisted her for Plaintiff Litigator of the Year. *Lawdragon* has named her one of the country's Leading Lawyers, Leading Litigators, Leading Plaintiff Financial Lawyers, and Leading Plaintiff Consumer Lawyers. Additionally, *Crain's New York Business* selected Carol to its list of Notable Women in Law. The *Women in Business Law Awards* has named Carol Securities Litigator of the Year and Thought Leader of the Year and has been shortlisted for Privacy and Data Protection Lawyer of the Year. *Chambers & Partners USA* selected Carol as a finalist for Diversity & Inclusion: Outstanding Contribution and *New York Law Journal's* New York Legal Awards selected her as a Lawyer of the Year finalist.

Notable recent successes include *In re Nielsen Holdings PLC Securities Litigation* (\$73 million settlement), *Allison v. Oak Street Health Inc.* (\$60 million settlement, pending final court approval), and *City of Warren Police and Fire Retirement System v. World Wrestling Entertainment, Inc.* (\$39 million settlement). Carol has also played a pivotal role in securing favorable settlements for investors, including in cases against DeVry, a for-profit university; AMD, a multi-national semiconductor company; Liquidity Services, an online auction marketplace; Aeropostale, a leader in the international retail apparel industry; Vocera, a healthcare communications provider; and Prothena, a biopharmaceutical company, among others. Carol has also helped revive a securities class action against LifeLock after arguing an appeal before the Ninth Circuit. The case settled shortly thereafter.

Prior to joining Labaton Keller Sucharow, Carol served as the Assistant District Attorney in the Supreme Court Bureau for the Richmond County District Attorney's office, where she took several cases to trial. She began her career as an Associate at King & Spalding LLP, where she worked as a federal litigator.

Carol is an active member of the New York State Bar Association's Women in the Law Section and Chair of the Board of Directors of the City Bar Fund, the nonprofit 501(c)(3) arm of the New York City Bar Association. In 2024, she was appointed by the Court of Appeals to the New York State Board of Law Examiners, an organization that administers the bar examination to candidates seeking admission to practice law in the State of New York. Carol is also a member of the National Association of Public Pension Attorneys, the National Association of Women Lawyers, and the Hispanic National Bar Association. In addition, Carol previously served on *Law360's* Securities Editorial Board.

Carol is a frequent commentator on legal issues and has been featured in the *Financial Times*, *Law360*, *Investment & Pensions Europe*, and *National Law Journal*, among others.

Carol earned her Juris Doctor from New York University School of Law, where she was the recipient of The Irving H. Jurow Achievement Award for the Study of Law and received the Association of the Bar of the City of New York Diversity Fellowship. She received her bachelor's degree, with honors, from New York University.

She is fluent in Spanish.

## **PROFESSOR JOEL SELIGMAN**



Joel Seligman is President Emeritus and University Professor at the University of Rochester where he served as President from 2005 to 2018.

He also is Dean Emeritus and Professor at the Washington University where he served as Dean and Ethan A.H. Shepley University Professor from 1999 to 2005. He previously had been Dean and Samuel M. Fegly Professor of Law at the University of Arizona and a member of law faculties at the University of Michigan, George Washington University, and Northeastern.

His scholarship has largely been in the field of securities regulation. He has co-authored with the late Louis Loss and Troy Paredes, the 11 volume treatise, *Securities Regulation* and is the author of two histories of financial regulation, *The Transformation of Wall Street: A History of the Securities and Exchange Commission and Modern Corporate Finance* (3d ed. 2003) and *Misalignment: The New Financial Order and the Failure of Financial Regulation* (Wolters Kluwer 2020). He also is the author of 20 books and over 40 articles on securities regulation and corporate law. He served on the Financial Industry Regulatory Authority from 2007 to 2015 and co-chaired the Finger Lakes Regional Economic Development Council from 2011 to 2016. He is a member of the American Academy of Arts and Sciences.

## PROFESSOR ANDREW TUCH



Professor Tuch teaches Corporations Law, Investment Banking and Private Equity, and Securities Regulation. His scholarly interests include securities and financial regulation, corporate law and governance, and comparative law. His work has appeared in multiple law reviews, peer-reviewed journals, and edited volumes. His articles “Multiple Gatekeepers” (*Virginia Law Review*) and “Reassessing Self-Dealing: Between No Conflict and Fairness” (*Fordham Law Review*) have been selected in a national poll of scholars as among the Top Ten Corporate and Securities articles in their respective years.

Tuch serves on the AALS Securities Regulation Executive Committee and has chaired the AALS Executive Committees for Business Associations and Financial Institutions. Beyond the AALS, he served a four-year term as a member of the Financial Industry Regulation Authority’s National Adjudicatory Council. He has been quoted by national news outlets, including the Wall Street Journal and New York Times.

Before joining Washington University’s faculty, Tuch taught at Sydney Law School and as a Byse Fellow at Harvard. He practiced law at Davis Polk & Wardwell in New York and London. He holds LLM and SJD degrees from Harvard Law School, where he was a Fulbright Scholar, a Fellow of the Program on Corporate Governance, an Olin Fellow in Law and Economics, and a two-time recipient of the Brudney Best Paper Prize in Corporate Governance.

## PROFESSOR MILA SOHONI



Mila Sohoni, Professor of Law and the John A. Wilson Distinguished Faculty Scholar, focuses her scholarship on civil procedure, administrative law, federal courts, and legislation.

Prior to joining SLS, she was a professor at the University of San Diego School of Law, where she received several awards for her teaching and scholarship. Her students selected her for the 2018 and 2023 Thorsnes Prize for Excellence in Teaching and in 2021-2022 she was awarded a USD University Professorship for outstanding contributions in teaching and research. In 2019, she was named the Herzog Endowed Scholar for excellence in scholarship and teaching.

Sohoni was appointed a Public Member of the Administrative Conference of the United States (ACUS) in 2022. She served as the Chair of the AALS Section on Administrative Law in 2022-2023, and she is a contributor to the Administrative Law section of JOTWELL.

Sohoni's scholarship has appeared in many leading journals of law, including the *Yale Law Journal*, the *Harvard Law Review*, the *Virginia Law Review*, the *University of Pennsylvania Law Review*, and the *Duke Law Journal*. Her article "The Lost History of the 'Universal' Injunction," 133 *Harvard L. Rev.* 920 (2020) was a co-winner of the American Constitution Society's 2020 Richard D. Cudahy Writing Competition on Regulatory and Administrative Law. "Crackdowns," 103 *Virginia L. Rev.* 31 (2017) received the honorable mention in the 2017 Scholarly Papers Competition sponsored by the Association of American Law Schools (AALS) and was also awarded the AALS Section on Criminal Justice's Junior Scholar Award for 2017. "The Power to Privilege," 163 *U. Penn. L. Rev.* 487 (2015) was selected for presentation at the 2014 Harvard/Stanford/Yale Junior Faculty Forum.

After graduating cum laude from Harvard Law School, where she served as book reviews chair and an articles committee member for the *Harvard Law Review*, Sohoni served as a law clerk to the Honorable Judith W. Rogers of the U.S. Court of Appeals for the District of Columbia

Circuit. She practiced law at Jenner & Block LLP in New York and Washington, DC, and was an acting assistant professor of lawyering at New York University School of Law.

Before attending law school, Sohoni spent two years as a science and technology correspondent for *The Economist* in New York and in London. She was a Rotary Foundation Ambassadorial Scholar at Cambridge University, where she received her MPhil with distinction (first class) in the history and philosophy of science. She graduated magna cum laude from Harvard College with a degree in chemistry.

## PROFESSOR MICHAEL KAUFMAN



In July, 2021, Michael J. Kaufman became the Dean and Professor of Law at Santa Clara University School of Law. Prior to joining Santa Clara University, Dean Kaufman served as Dean of Loyola University Chicago School of Law for five years and as associate dean for academic affairs for 11 years. He also served as Loyola University Chicago's Acting Provost and Chief Academic Officer, leading the University's academic vision and inclusive strategic planning process.

Dean Kaufman helped strengthen several critical areas, including the diversity of students, faculty, and administrative team; bar exam passage rates; employment outcomes; student academic credentials; academic reputation, and national rankings. He founded Loyola's Rodin Center for Social Justice, Education Law and Policy Institute, Rule of Law Institute, and Institute for Investor Protection. In addition, he expanded access to educational opportunities for students and developed a law school curriculum around experiential learning, relationship-building skills, professional-identity formation, implicit-bias prevention, and perspectives on law and justice. Dean Kaufman also developed Loyola Chicago Law School's innovative and popular hybrid Weekend JD program.

Dean Kaufman is a beloved teacher and distinguished scholar who has published more than 30 books and numerous law review articles in three key areas: education law, equity, policy, and pedagogy; securities regulation and litigation; and civil procedure and dispute resolution.

His research in education law, policy, equity, and pedagogy inspired him to found [Loyola's Education Law and Policy Institute](#), which conducts research, conferences, comprehensive academic programs, direct representation, and advocacy dedicated to enhancing educational access and equity. The Institute has developed particular expertise and experience in racial equity, special education, school discipline reform, early childhood education, and anti-bullying.

A dedicated and award-winning public servant, Kaufman was elected three times to serve on the Board of Education for a diverse K-12 school district in the Chicago area. As the Board's president, he led multiple stakeholders in a community-wide strategic planning process; helped to consolidate and integrate racially segregated neighboring school districts; worked for the

educational best interest of all students, and managed multi-million dollar budgets with transparency.

Kaufman has served as a public arbitrator for securities matters, as well as an expert consultant for the U.S. Securities and Exchange Commission, the Consumer Financial Protection Bureau, and the Illinois Attorney General's Office. He also has delivered bar examination review lectures to hundreds of thousands of law school graduates for bar exams throughout the country, including in California.

He clerked for the Honorable Nathaniel R. Jones of the United States Court of Appeals for the Sixth Circuit and practiced civil rights law and securities litigation at a large private firm in Chicago.

Education:

J.D., University of Michigan Law School

B.A., Kenyon College

## KATHERINE LUBIN BENSON



Katherine Lubin Benson is a partner in our San Francisco office specializing in securities and derivative suits and antitrust class actions.

Katherine's successful cases include [a shareholder derivative action against The Boeing Company's officers and directors](#). Katherine represented Co-Lead Plaintiffs the New York State Comptroller Thomas P. DiNapoli, as trustee of the New York State Common Retirement Fund, and the Fire and Police Pension Association of Colorado in the action alleging breach of fiduciary duty in connection with the board of directors' oversight of the 737 MAX's design and development. In February 2022, the Delaware Chancery Court approved a settlement comprised of a \$237.5 million cash payment and extensive corporate governance reforms including a new board director, mandated director expertise, and an ombudsperson program.

Katherine was also a key member of the Lieff Cabraser team representing Co-Lead Plaintiff Fire and Police Pension Association of Colorado in [a shareholder derivative action against Wells Fargo's current and former officers and directors arising from the illicit sales practices scandal](#). The case settled for a \$240 million cash payment and corporate governance reforms in April 2020. The settlement represents the largest insurer-funded derivative settlement in history.

Katherine is also an experienced antitrust litigator. Her recent antitrust successes include representing a class of hospitals, third-party payors, and uninsured persons in [an antitrust class action against defendants Momenta Pharmaceuticals, Inc. and Sandoz Inc. for their alleged monopolization of enoxaparin](#), the generic version of the anti-coagulant blood clotting drug Lovenox. The settlement, totaling \$120 million, is the second-largest indirect purchaser antitrust pharmaceutical settlement fund in history. Lieff Cabraser's work on the case was recognized by the American Antitrust Institute, which honored the firm with the 2020 Outstanding Private Practice Antitrust Achievement award. Katherine was awarded AAI's Outstanding Antitrust Litigation Achievement by a Young Lawyer award for her contributions to the case.

Katherine serves as a Lawyer Representative to the Ninth Circuit Judicial Council, and on the Board of Directors of the Bar Association of San Francisco and the Northern District Practice Program. She previously served on the Board of East Bay Community Law Center. Between 2012 and 2017, she coached a Berkeley Law mock trial team.

Katherine received her J.D. from the University of California, Berkeley School of Law (Berkeley Law) in 2008. During law school, she worked as a judicial extern for the Honorable Dean D. Pregerson of the U.S. District Court, Central District of California.

## **PROFESSOR ANDREW C. BAKER**



Andrew Baker is an assistant professor of law at UC Berkeley School of Law. His primary fields of interest are corporate governance, securities regulation, and the application of empirical methods to legal questions. His work has been published in the *Journal of Financial Economics*, *The Journal of Law, Finance, and Accounting*, and the *Stanford Law Review*. Before joining Berkeley Law, Baker was a Research Fellow with the Rock Center for Corporate Governance at Stanford University.

## **THE HONORABLE JUDGE JAMES DONATO**



The Honorable James Donato is a United States District Judge for the Northern District of California, with chambers in the San Francisco courthouse. Judge Donato was nominated by President Barack Obama and confirmed by the United States Senate in 2014. He is a member of the Northern District's Local Rules Committee and Patent Pilot Program. He is also the Liaison Judge for the district's Standing Committee on Professional Conduct.

Before taking the bench, Judge Donato was in private practice for over 20 years. He is a past president of the Bar Association of San Francisco. Judge Donato received an undergraduate degree from the University of California, Berkeley, a graduate degree from Harvard University, and a law degree from Stanford Law School. He clerked for the Hon. Procter Hug, Jr., on the Ninth Circuit.

## **PROFESSOR CALEY PETRUCCI**



Caley Petrucci is an assistant professor at the University of San Diego School of Law, where she also serves as a director of the Center for Corporate and Securities Law. Prior to joining the USD faculty, Professor Petrucci was a Climenko Fellow and Lecturer on Law at Harvard Law School and practiced as an attorney at Wachtell, Lipton, Rosen & Katz. Professor Petrucci's scholarship examines dealmaking in corporate law. Her research focuses on the development of corporate transactions over time and the relationships among shareholders and between shareholders, boards, and stakeholders in corporate transactions. Professor Petrucci's scholarship has been published in the Harvard Law Review, Columbia Law Review, and Yale Journal on Regulation, among others. Professor Petrucci holds a J.D. cum laude from Harvard Law School, where she served as an articles editor on the Harvard Law Review, and a B.A. with honors and distinction from Stanford University.

## **CHRISTIN J. HILL**



Christin Hill is a partner in Morrison & Foerster's San Francisco office and a member of the Securities Litigation, Securities Enforcement, and Investigations + White Collar Defense practice groups. Christin has extensive experience representing clients in securities class actions, derivative actions and other complex civil litigation in both federal and state courts, as well as in government and internal investigations.

With over a decade of experience in private practice, Christin has successfully represented public companies and their boards of directors and officers in securities fraud actions, derivative actions, SEC enforcement actions, internal investigations, and general corporate counseling. She also has experience working with pre-IPO companies in complex civil litigation in both federal and state court, internal investigations, responding to government inquiries, and advising on general compliance matters.

Before joining Morrison & Foerster, Christin served as senior counsel at Uber Technologies, Inc., where she managed complex civil litigation including consumer class actions, intellectual property matters, and government investigations. She was also a lead member of Uber's global compliance team, through which she created and implemented new compliance policies and training programs and advised company management in responding to government inquiries.

Christin also served as an assistant district attorney in the San Francisco District Attorney's Office, where she successfully prosecuted three misdemeanor criminal jury trials. Early in her career, she served as a judicial clerk for the Honorable John A. Houston in the U.S. District Court for the Southern District of California.

## LAURA S. STEIN



Laura Stein is Of Counsel in Robbins Geller Rudman & Dowd LLP's Philadelphia office. Since 1995, she has practiced in the areas of securities class action litigation, complex litigation, and legislative law.

Laura has served as one of the Firm's and the nation's top asset recovery experts with a focus on minimizing losses suffered by shareholders due to corporate fraud and breaches of fiduciary duty. She also seeks to deter future violations of federal and state securities laws by reinforcing the standards of good corporate governance. Laura works with over 500 institutional investors across the nation and abroad, and her clients have served as lead plaintiff in successful cases where billions of dollars were recovered for defrauded investors against such companies as: AOL Time Warner, TYCO, Cardinal Health, AT&T, Hanover Compressor, 1st Bancorp, Enron, Dynegy, Inc., Honeywell International, Bridgestone, LendingClub, Orbital ATK, and Walmart, to name a few. Many of the cases led by Laura's clients have accomplished groundbreaking corporate governance achievements, including obtaining shareholder-nominated directors. She is a frequent presenter and educator on securities fraud monitoring, litigation, and corporate governance.

Laura has served for over 20 years, since its founding, as Special Counsel to the Institute for Law and Economic Policy (ILEP), a think tank that develops policy positions on selected issues involving the administration of justice within the American legal system related to investor and consumer rights. Each year ILEP publishes the papers presented at its annual symposium in prominent law reviews such as the *Columbia Law Review*, *Duke Law Journal*, *Hastings Law Journal* and *Vanderbilt Law Review*. ILEP studies have been used to refine and reform complex litigation and have been cited in numerous precedent-setting decisions.

Laura has been recognized as a Leading Plaintiff Financial Lawyer by *Lawdragon*. Laura is a member of the Bar in Pennsylvania, New Jersey and Washington, D.C. She received her Bachelor of Arts degree and her Juris Doctor degree from the University of Pennsylvania.

